

12/20/01
1044 U.S. PTO

12-28-01



24238

PATENT TRADEMARK OFFICE

Patent
Attorney Docket No.: 41394-00010USPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: JOHN R. HAMPTON ET AL.

Entitled: FILTER ELEMENT FLOW DIVERTER BARRIER AND METHOD

1044 U.S. PTO
10/028627
12/20/01

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL 37 CFR 1.10

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Date of Deposit 12/20/01

I hereby certify that this paper, including the documents referred to therein, or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231

Type or Print Name JIMMY PATTERSON

Signature [Signature]

PATENT APPLICATION TRANSMITTAL LETTER

This is a request for filing a utility application by the following named inventor(s):

| | | | |
|-------------------------|--|-----------------------------------|---|
| Full Name of Inventor | Family Name HAMPTON | First Given Name JOHN | Second Given Name R. |
| Residence & Citizenship | City HOUSTON | State or Foreign Country TEXAS | Country of Citizenship USA |
| Post Office Address | Post Office Address 3614 SIERRA PINES | City HOUSTON | State & Zip Code/Country TEXAS 77068 USA |

| | | | |
|-------------------------|---|-----------------------------------|---|
| Full Name of Inventor | Family Name WALLACE | First Given Name GREG | Second Given Name P. |
| Residence & Citizenship | City KATY | State or Foreign Country TEXAS | Country of Citizenship USA |
| Post Office Address | Post Office Address 202 IMPERIAL BEND LANE | City KATY | State & Zip Code/Country TEXAS 77493 USA |

- ☒ Enclosed are 11 pages of specification, 5 pages of claims, and 1 page of abstract.
- ☒ The filing fee is calculated on the basis of the claims.

| FOR | NUMBER FILED/PAID | NUMBER EXTRA | RATE | CALCULATIONS |
|--|-------------------|--------------|--------------|--------------|
| TOTAL CLAIMS | 15 -20= | 0 | x \$18.00 = | \$-0- |
| INDEPENDENT CLAIMS | 4 -3= | 1 | x \$84.00 = | \$84.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | 0 x \$ 280 = | N/A |
| BASIC FEE + | | | | \$740.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$824.00 |
| REDUCTION BY ½ FOR FILING BY SMALL ENTITY (Note 37 C.F.R. 1.9, 1.27, 1.28). IF APPLICABLE, VERIFIED STATEMENT MUST BE STATED BELOW. | | | | N/A |
| Total = | | | | \$412.00 |

3. ☒ A check in the amount of \$412.00 for the application fee is enclosed.
4. ☒ Applicant(s) is a small entity.
5. ☒ An executed Declaration and Power of Attorney for each named inventor is enclosed.
6. ☒ Five formal drawings are enclosed.
7. ☒ An executed Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) for each named inventor is enclosed.
8. ☒ The Commissioner is hereby authorized to charge fees under 37 C.F.R. §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 10-0447, reference 41394-00010USPT.
9. ☒ Address all future communications to:

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1100 Louisiana, Suite 1800
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Telephone: (713) 951-3375
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100233b 1-28001

- Respectfully submitted,
JENKENS & GILCHRIST
A Professional Corporation

Margaret A. Boulware
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Reg. No. 28,708

Houston 235013 v 1, 41394.00010USPT

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor **John R. Hampton**

Title **Filter Element Flow Diverter
Barrier and Method**

Atty Docket Number **41394-00010USPT**

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/14/01

Date



Signature

John R. Hampton

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

100867-1232007

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

John R. Hampton

Title

Filter Element Flow Diverter
Barrier and Method

Atty Docket Number

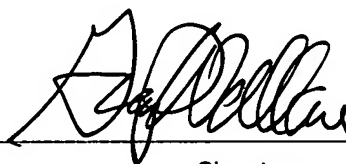
41394-00010USPT

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/03/01

Date



Signature

Greg P. Wallace

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**